

John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/754,174

Applicant

Lilip Lau, William Hartigan, Anuja Patel

Filed

: January 9, 2004

Art Unit

3736

Examiner

: Nikita R. Veniaminov

Title

: DEVICE FOR TREATING HEART FAILURE

Docket No.:

PARCR 66327

Customer No.

24201

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and §1.98(d). A copy of each of the referenced articles and of each of the foreign references is enclosed. This Information Disclosure Statement is being submitted to correct the publication dates of all the U.S. Patent Documents by including the four-digit year, to include the name of the inventor(s) for each of the listed U.S. applications, and to submit additional art which has come to the attention of Applicants. It is respectfully requested that the Information Disclosure Statement references now be considered.

This application is a continuation of U.S. Serial No. 10/242,016 filed September 10, 2002 now U.S. Patent No. 6,723,041. The foregoing application is incorporated herein by reference. Priority is claimed to this application.

The petition fee set forth in 37 C.F.R. § 1.17(p) is enclosed. Please charge any deficiency in fees or credit any overpayment to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

John S. Nagy (

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696 Customer No. 24201

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TRANSMITTA FORM	AL	Application Numb Filing Date First Named Inver Art Unit		10/754,174 January 9, 2004 Lilip Lau 3736
(to be used for all correspondence after Total Number of Pages in This Submission		Examiner Name Attorney Docket N	lumber	Nikita R. Veniaminov PARCR 66327
	ENCLOSI	JRES (Check all	that apply)
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Petition Petition to Provision Power of Change of Terminal Request CD, Num	o Convert to a al Application Attorney, Revocation of Correspondence Ad Disclaimer for Refund hiber of CD(s)	_	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Proprietary Information Status Letter Other Enclosure(s) (please identify below): Postcard 17 References
Reply to Missing Parts under 37 CFR 1.52 or 1.53				
		NT, ATTORNEY, O	R AGENT	ſ
Firm Name FULWIDER PATTO	N LEE & UTECI	HT, LLP		
Signature John /	Vager			
Printed name .	70			
Date May 9, 2005			Reg. No.	30,664

	CERT	IFICATE OF TRAN	ISMISSION/MAILI	NG	
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Signature	Jehn V	arr			
Typed or printed name	JOHN S. NAGY	00	Date	May 9, 2005	

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	s small entity status	_	1 27	Examiner Name	Nikita I	R Veniaminov			
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METHOD OF PA	YMENT (check al	that apply)							
🛛 Check 🔲	Credit Card	Money Order	☐ None	Other	(please identify):				
☐ Deposit	Deposit Account	Number:	06-2425	Deposit A	ccount Name:	FULW	DER PATTON		
For the above-in	dentified deposit accor	ant, the Director i	s hereby auth	norized to: (check a	II that apply)				
	Charge fee(s) indicate	ed below		Charge	fee(s) indicated	below, except f	or the filing fee		
WARNING: Informa	Charge any additional fee(s) under 37 CFR tion on this form made authorization on P	1.16 and 1.17 by become publ			any overpayment ould not be inc		form. Provide credit		
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Application Type	Fee (\$) 300	<u>Fee (\$)</u> 150	<u>Fee (\$)</u> 500	<u>Fee (\$)</u> 250	<u>Fee (\$)</u> 200	<u>Fee (\$)</u> 100	Fees Paid(\$)		
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² 37 CFR 1.52(e)), t	and drawings exce he application size t a)(1)(G) and 37 CF	ee due is \$250	of paper (ex I (\$125 for s	ccluding electroni small entity) for ea	cally filed sequech additional	ience or comp 50 sheets or t	outer listing under raction thereof.		
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4. OTHER FEE(S)			-			Fee Paid (\$)		
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Other (e.g., late fili	ng surcharge): Sur	plemental Info	rmation Disc	closure Statement			\$180.00		
SUBMITTED BY	7) /	11. 4-	In.						
Signature	John	110	(At	egistration No. ttomey/Agent)	30,664	Telephone	(310) 824-5555		
Name (Print/Type)	$\boldsymbol{\nu}$	101	IN S. NAGY	(Date	May 9, 2005		

PTO/SB/08a (06-03)

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2	Co	omplete if Known
Substitute for form 1449A/PTO MAY 1 2 2005	Application Number	10/754,174
INFORMATION DISCLOSURE	Filing Date	January 9, 2004
CTATEMENT DYADDLICART	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	3736
(use as many sheets as necessary)	Examiner Name	Nikita R. Veniaminov
Sheet 1 of 10	Attorney Docket Number	PARCR 66327

			U.S.	PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Docum	nent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Cite	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines	T ⁶		
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		SU	1009457		04/07/1983	Kulik			
		PCT	WO 96/40356		12/19/1996	EP Technologies, Inc.			
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		PCT	WO/0036995	_	06/29/2000	Corset Inc.			

Examiner	Date	
Signature	 Considered	

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				U.S.	PAT	ENT DOCUME	NT	S			
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Initials*	No	Office ³	Number ⁴	Kind ⁵ (if known)		MM-DD-YYYY		of Cited	Document	Passages or Relevant Figures Appear	Τ°
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Substitute for form 1449A/PTO	Application Number	10/754,174		
INFORMATION DISCLOSURE	Filing Date	January 9, 2004		
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	3736		
(use as many sheets as necessary)	Examiner Name	Nikita R. Veniaminov		
Sheet 3 of 10	Attorney Docket Number	PARCR 66327		

			U.S.	PATENT DOCUMEN	(TS	
Examiner Initials*	Cite No ¹	Number Docum	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initials*	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	1"			
				 								
				 								
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Sheet 4 of 10	Attorney Docket Number	PARCR 66327	

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	Name of Patente Applicant of Ci Document	Publication Date MM-DD-YYYY	ind Code ² f known)		Number	Cite No ¹	Examiner Initials*
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	Document	MM-DD-YYYY	Kind ⁵ (if known)	Number ⁴	Office ³	No	Initials*
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	U.S. PATENT DOCUMENTS						
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Substitute for form 1449A/PTO	Application Number	10/754,174	
INFORMATION DISCLOSURE	Filing Date	January 9, 2004	
	First Named Inventor	Lilip Lau	
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(use as many sheets as necessary)	Examiner Name	Nikita R. Veniaminov	
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INFORMATION DISCLOSURE	Filing Date	January 9, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	3736	
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	· · ·	First Named Inventor	Lilip Lau
2	STATEMENT BY APPLIC	Art Unit	3736
	(use as many sheets as necessary)	Examiner Name	Nikita R. Veniaminov
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STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT DI AFFLICANT	Art Unit	3736
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INFORMATION DISCLOSURE	Filing Date	January 9, 2004
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
(use as many sheets as necessary)	Group Art Unit	3736
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